

Customer Registration Form

In order to trade on Alternativa aktiemarknaden i Sverige AB (Alternativa) you have to register as a customer. This is done by filling out the forms below. Since we need a signed version we ask you to:

- Fill out and sign the Alternativa **Applicant Registration Form**, the **Suitability Assessment**, the **PEP Test** as well as the **Beneficial Owner Form**.
- A certified copy of the identity card or passport of the account holder and/or the Beneficial Owner(s) must be included with the registration form. Post all forms and copies of IDs to Alternativa at the address provided below.

By signing the form you declare:

- to have the legal capacity to conclude this contract and to manager his/her portfolio,
- to confirm that the information given (needed to meet authorities' information requirements) is correct and that changes will be made available to Alternativa without delay,
- to accept the trading rules and fees applicable on Alternativa,
- to be a "Non-US Person"
- to be aware that Alternativa is not responsible for events in the connected companies or their information dissemination,
- to commit to follow the clearing instructions stated on the contract note sent to you,
- to receive contract notes etc via email if you have entered an email address below,
- to be aware that orders that have been entered are binding and that telephone orders may be recorded on tape,
- to be aware that the size of the trade can be reduced and delivery of payment and/or securities can be delayed, due to circumstances beyond the control of Alternativa,
- to accept that the information you provide on this form can be stored on data media,
- to receive propositions from Alternativa as to whether you wish to receive offers from connected companies,
- to have sufficient experience in the field of investments and consider that it is not necessary to receive advice or portfolio management from a professional manager,
- to assume the responsibility and all the risks relating to the orders which will be transmitted to Alternativa,
- Alternativa will not assume any liability for the customer's investment decisions & the financial consequences of these trades,
- to have received and read the general conditions and the overview of the characteristics and essential risks of the financial instruments, be aware of the risks that Alternativas financial instruments involve, and have read the information in the document below regarding suitable assessment and answered the questions needed,
- to guarantee by his/her (their) signature that the assets used, or to be used at any time, on his/her (their) account have no criminal origin, are the product of a legal activity and will not be used for money laundering,
- to have sufficient IT and Internet knowledge to use the services,
- that he/they will not resell or repeat, in any way whatsoever, the financial information delivered on the site.
- to answer the questions with regards to PEPs (politically exposed person) correctly and truly.

Payments made or securities delivered, in accordance with instructions on the contract note, will temporarily be placed in a special client cash account or securities account with Danske Bank Sverige Filial.

DOCUMENTS TO BE PROVIDED WHEN SENDING YOUR APPLICATION FORM BY MAIL:

- ✓ A certified copy of the identity card or passport.
- ✓ Both completed and signed Customer Registration and the Suitability Assessment.



Customer details (* = mandatory)

_____	_____
First Name* *	Last Name*
_____	_____
Social Security Number / Date of Birth*	Address*
_____	_____
Postal code*	City* & Country*
_____	_____
Daytime phone number*	E-Mail address*
_____	_____
Choose personal Password (3-9 numbers or letters)*	Political mandate: yes/no, if yes, please specify*

Deposit of payment, when selling securities

_____	_____
Bank name*	Account number*
<i>If bank located outside of Sweden please supply the following information:</i>	
_____	_____
Address of bank	Postcode
_____	_____
City	Country
_____	_____
IBAN account number	Bank bic or swift code

Transfer of securities, when buying securities

_____	_____
Bank or broker firm*	VP-account or securities account number*
_____	_____
Address of bank / broker firm*	Postcode*
_____	_____
City*	Country*

The customer(s) declare(s) to accept the clauses of the General Conditions containing limitations of responsibilities, rights of unilateral termination or differed execution and one jurisdiction clause in favor of Alternativa.

Signature

_____	_____
Date and Place*	Signature*



Suitability Assessment

The Markets in Financial Instruments Directive (MiFID) came into effect on 01 November 2007, when it replaced the Investment Services Directive (ISD). MiFID recognizes that investors have different levels of knowledge, skill, and expertise. The application of specific regulatory obligations under MiFID depends on a client's 'regulatory' category. MiFID adopts two main categories of client: retail and professional. MiFID attaches different regulatory protections to each of these categories – with the result that those falling within the retail category - the less experienced, knowledgeable and sophisticated investors will be afforded a higher level of protection than that afforded to investors in the professional category. New client categorization applies to any business that falls within MiFID. Banks and other financial institutions that execute financial transactions for clients are obligated to examine if the transactions are suitable for each client, in other words make a suitability assessment.

Stocks traded at Alternativa have by Finansinspektionen (The Swedish Financial Supervisory Authority) been categorized as "complicated financial instruments" which you as a client need certain knowledge and experience about in order to understand its attributes and risks. As a future client at Alternativa we ask you to read the following information and answer the questions below.

- Stocks traded at the Alternativa exchange are classified as "unlisted stocks" and might offer limited liquidity compared to stocks of listed companies on an official exchange.
- Information on companies traded on the Alternativa exchange might be of lesser quality compared to officially listed companies. In order to explicate this, each company's financial information is graded with G, VG or MVG, in a rising order.
- Overall there is a greater risk investing in unlisted stocks traded on the Alternativa exchange.
- Past performance of a financial instrument does not guarantee its future development. The entire invested amount can possibly be lost.

Please mark either "yes" or "no":

	<u>Yes</u>	<u>No</u>
<input type="checkbox"/> I have former experience and knowledge regarding trading with stocks.....	()	()
<input type="checkbox"/> I have former experience and knowledge regarding trading with unlisted stocks.....	()	()
<input type="checkbox"/> I am familiar with the trading rules at Alternativa.....	()	()
<input type="checkbox"/> I am familiar with the trading periods at Alternativa.....	()	()
<input type="checkbox"/> I have experience and knowledge regarding companies' financial information	()	()
<input type="checkbox"/> I understand the information above and am aware of risks associated with trading unlisted stocks.....	()	()

If Alternativa is unsure whether you have the experience and knowledge required to trade at Alternativa we will contact you for an in depth suitability assessment. You can find more information on www.alternativa.se or contact Alternativa directly.

You sign, on your behalf and for your account, and not on behalf or for the benefit of a company, an association, a partnership or a trust. You exclusively use the financial information for your personal investment activities, and not in relation to any professional or commercial activities. You have read, approved as well as understood the suitability assessment.

Signature

Date and Place*

Signature*



Politically Exposed Person (PEP) test

All foreign customers are required by law to fill out and sign the following PEP-questionnaire. If you live in Sweden you do NOT have to fill out this document.

A politically exposed person (PEP) is either:

- President, minister, chancellor, vice president of a country, member of parliament, member of the House of Representatives, or equal
- Supreme Court Judge, judge at a constitutional court or equal
- Senior official of a Court authority
- Ambassador or Senior Military Official
- Involved in the senior management of state-owned enterprises

Are you one of the above?* (yes / no) _____

If yes, please specify: _____

Are you close family (husband, wife, partner, child and their husband / wife, parent) to a PEP? or are you a close colleague to one of the above * (yes / no): _____

If yes, please specify: _____

The subscriber declares that he will inform Alternativa aktiemarknaden i Sverige AB immediately of all changes in the above-mentioned information. Under penalties or perjury, the signatory confirms that the information given in this document is complete, accurate and genuine.

Read and approved

Place, date and signature of the customer*



Customer Due Diligence, legal person

Customer due diligence must be undertaken by Alternativa when:

- Establishing a business relationship
- Carrying out occasional transactions amounting to €15,000 or more whether the transaction is carried out in a single operation, or in several operations, which appear to be linked
- There is a suspicion of money laundering or terrorist financing, regardless of any derogation, exemption or threshold
- There are doubts about the veracity or adequacy of previously obtained documentation.

According to the law on measures against money laundering and terrorist financing must Alternative gather information regarding the ownership of legal persons becoming client at Alternativa. We need information about voting rights and owned shares greater than 25% in order to check the owner's identity. We therefore ask that you fill in the details of shares and voting rights concerning the legal person below.

Full Name of Legal Entity / Company*

Organization number/International Tax ID number*

Check if true:

- There is no single owner of voting rights or ownership interest greater than 25% (including both direct and indirect holdings).

Owner	Org./Intl. Tax number	Amount Share	Shares in %	Voting Rights in %

If any of these owners is a legal person, please indicate who has voting rights or ownership interest greater than 25% here too.

Owner	Org./Intl. Tax number	Amount Share	Shares in %	Voting Rights in %

Please submit a certified copy of the identity card or passport of the above owners.

The subscriber declares that he will inform Alternativa aktiemarknaden i Sverige AB immediately of all changes in the above-mentioned information. Under penalties or perjury, the signatory confirms that the information given in this document is complete, accurate and genuine.

Read and approved

Place, date and signature of person authorized to sign for company *

