

Applicant Firm (Customer) Registration Form

In order to trade on Alternativa aktiemarknaden i Sverige AB (Alternativa) you have to register as a customer. This is done by filling out the forms below. Since we need a signed version we ask you to:

- Fill out and sign the Alternativa Applicant firm Registration Form.
- A certified copy of the identity card or passport of the account holder / main contact at company as well as a copy of the certificate of registration of the company must be included with the registration form.
- Sign the customer registration form and post it to Alternativa at the address provided below.

By signing the form you declare:

- to have the legal capacity to conclude this contract and to manager his/her portfolio,
- to confirm that the information given (needed to meet authorities' information requirements) is correct and that changes will be made available to Alternativa without delay,
- to accept the trading rules and fees applicable on Alternativa,
- to be a "Non-US Person"
- to be aware that Alternativa is not responsible for events in the connected companies or their information dissemination,
- to commit to follow the clearing instructions stated on the contract note sent to you,
- to receive contract notes etc via email if you have entered an email address below,
- to be aware that orders that have been entered are binding and that telephone orders may be recorded on tape,
- to be aware that the size of the trade can be reduced and delivery of payment and/or securities can be delayed, due to circumstances beyond the control of Alternativa,
- to accept that the information you provide on this form can be stored on data media,
- to receive propositions from Alternativa as to whether you wish to receive offers from connected companies,
- to have sufficient experience in the field of investments and consider that it is not necessary to receive advice or portfolio management from a professional manager,
- to assume the responsibility and all the risks relating to the orders which will be transmitted to Alternativa,
- Alternativa will not assume any liability for the customer's investment decisions and the financial consequences of these trades,
- to have received and read the general conditions and the overview of the characteristics and essential risks of the financial instruments, be aware of the risks that Alternativas financial instruments involve, and have read the information in the document below regarding suitable assessment and answered the questions needed,
- to guarantee by his/her (their) signature that the assets used, or to be used at any time, on his/her (their) account have no criminal origin, are the product of a legal activity and will not be used for money laundering,
- to have sufficient IT and Internet knowledge to use the services,
- that he/they will not resell or repeat, in any way whatsoever, the financial information delivered on the site.

Payments made or securities delivered, in accordance with instructions on the contract note, will temporarily be placed in a special client cash account or securities account with Danske Bank Sverige Filial.



Customer (Applicant Firm) details (* = mandatory)

_____ Organization number/International Tax ID number*	_____ Full name of the Legal Entity/Company*
_____ Name of main contact at Legal Entity/Company*	_____ Address of the head office*
_____ Postal code*	_____ City* & Country*
_____ Daytime phone number of main contact*	_____ E-Mail address of main contact*
_____ Choose personal Password (3-9 numbers or letters)*	

Deposit of payment, when selling securities

_____ Bank name*	_____ Account number*
<i>If bank located outside of Sweden please supply the following information:</i>	
_____ Address of bank	_____ Postcode
_____ City	_____ Country
_____ IBAN account number	_____ Bank bic or swift code

Transfer of securities, when buying securities

_____ Bank or broker firm*	_____ VP-account or securities account number*
_____ Address of bank / broker firm	_____ Postcode
_____ City	_____ Country

The customer(s) declare(s) to accept the clauses of the General Conditions containing limitations of responsibilities, rights of unilateral termination or differed execution and one jurisdiction clause in favor of Alternativa.

Signature

_____ Date and Place*	_____ Signature*
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Contact details

Principal Contacts

You are required to provide contact details for each of the job titles below. Alternativa also requests that the client select one of the listed contacts as the principal contact and another as the secondary contact. These persons should be available to respond promptly to Regulation enquiries raised by Alternativa and will also be the recipients of Market Notices and Communications.

Broker Dealer Contact*

_____	_____
Name*	Job Title*
_____	_____
Telephone*	E-Mail*

Settlement Contact*

_____	_____
Name*	Job Title*
_____	_____
Telephone*	E-Mail*

Regulation Status of Applicant

Please confirm that the applicant is authorized under Article 5 of MiFID for each of the investment services which will be provided under its Alternativa business profile.

Please also provide the name of the applicant's home competent authority and details of where its scope of permission is available for inspection, such as an electronic register.

Data Protection Act 1998

Within this application you have been asked to provide personal information that is covered by the Data Protection Act 1998. You agree that we may hold and process, by computer or otherwise, any personal information provided, for purposes connected with the application and the proper administration of the Alternativa market. Alternativa will take reasonable precautions to prevent the loss, misuse or alteration of that personal information.



Applicants Declaration

1. The Applicant hereby applies to be a customer of Alternativa and confirms that the information contained in this application form or otherwise provided to Alternativa is complete and accurate.
2. The Applicant agrees to inform Alternativa in the event that it wishes to amend its business profile in relation to its activities with Alternativa.
3. In relation to its use of the on-exchange services provided by Alternativa, the Applicant has read and understood the requirements of the Alternativa Trading Rules and agrees to abide by such rules on a continuing basis.

This declaration must be signed by a director/partner/main contact.

For and on behalf of the Applicant:

Name of person authorized to sign for company*

Position*

Signature*

Date & Place*



Politically Exposed Person (PEP) test

All foreign customers are required by law to fill out and sign the following PEP-questionnaire. If you live in Sweden you do NOT have to fill out this document.

A politically exposed person (PEP) is either:

- President, minister, chancellor, vice president of a country, member of parliament, member of the House of Representatives, or equal
- Supreme Court Judge, judge at a constitutional court or equal
- Senior official of a Court authority
- Ambassador or Senior Military Official
- Involved in the senior management of state-owned enterprises

Are you one of the above?* (yes / no) _____

If yes, please specify: _____

Are you close family (husband, wife, partner, child and their husband / wife, parent) to a PEP or are you a close colleague to one of the above?* (yes / no): _____

If yes, please specify: _____

The subscriber declares that he will inform Alternativa aktiemarknaden i Sverige AB immediately of all changes in the above-mentioned information. Under penalties or perjury, the signatory confirms that the information given in this document is complete, accurate and genuine.

Read and approved

Place, date and signature of person authorized to sign for company *



Customer Due Diligence, legal person

Customer due diligence must be undertaken by Alternativa when:

- Establishing a business relationship
- Carrying out occasional transactions amounting to €15,000 or more whether the transaction is carried out in a single operation, or in several operations, which appear to be linked
- There is a suspicion of money laundering or terrorist financing, regardless of any derogation, exemption or threshold
- There are doubts about the veracity or adequacy of previously obtained documentation.

According to the law on measures against money laundering and terrorist financing must Alternative gather information regarding the ownership of legal persons becoming client at Alternativa. We need information about voting rights and owned shares greater than 25% in order to check the owner's identity. We therefore ask that you fill in the details of shares and voting rights concerning the legal person below.

Full Name of Legal Entity / Company*

Organization number/International Tax ID number*

Check if true:

There is no single owner of voting rights or ownership interest greater than 25% (including both direct and indirect holdings).

Owner	Org./Intl. Tax number	Amount Share	Shares in %	Voting Rights in %

If any of these owners is a legal person, please indicate who has voting rights or ownership interest greater than 25% here too.

Owner	Org./Intl. Tax number	Amount Share	Shares in %	Voting Rights in %

Please submit a certified copy of the identity card or passport of the above owners.

The subscriber declares that he will inform Alternativa aktiemarknaden i Sverige AB immediately of all changes in the above-mentioned information. Under penalties or perjury, the signatory confirms that the information given in this document is complete, accurate and genuine.

Read and approved

Place, date and signature of person authorized to sign for company *

